

TITLE

Almond Consumption for 8 Weeks Altered Host and Microbial Metabolism in Comparison to a Control Snack in Young Adults

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ABBREVIATIONS

AUTHOR DISCLOSURES

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1 ABSTRACT

2 Almond consumption can improve cardiometabolic (CM) health. However, the mechanisms
3 underlying those benefits are not well characterized. This study explored the effects of
4 consuming a snack of almonds vs. crackers for 8 weeks on changes in metabolomic profiles
5 in young adults (clinicaltrials.gov ID: NCT03084003). Participants (n=73, age: 18-19 years,
6 BMI: 18-41 kg/m²) were randomly assigned to consume either almonds (2 oz/d, n=38) or an
7 isocaloric control snack of graham crackers (325 kcal/d, n=35) daily for 8 weeks. Blood
8 samples were collected at baseline prior to and at 4 and 8 weeks after the intervention.
9 Metabolite abundances in the serum were quantified by hydrophilic interaction
10 chromatography quadrupole (Q) time-of-flight (TOF) mass spectrometry (MS/MS), gas
11 chromatography (GC) TOF MS, CSH-ESI (electrospray) QTOF MS/MS, and targeted
12 analyses for free PUFAs, total fatty acids, oxylipins and endocannabinoids. Linear mixed
13 model analyses with baseline-adjustment were conducted, and those results were used for
14 enrichment and network analyses. Microbial community pathway predictions from 16S
15 rRNA sequencing of fecal samples was done using PICRUST2. Almond consumption
16 enriched unsaturated triglycerides, unsaturated phosphatidylcholines, saturated and
17 unsaturated lysophosphatidylcholines, tricarboxylic acids, and tocopherol clusters (p<0.05).
18 Targeted analyses reveal lower levels of omega-3 total fatty acids (TFAs) overall in the
19 almond group compared to the cracker group (p<0.05). Microbial amino acid biosynthesis,
20 and amino sugar and nucleotide sugar metabolism pathways were also differentially enriched
21 at the end of the intervention (p<0.05). The study demonstrates the differential effects of
22 almonds on host tocopherol, lipid, and TCA cycle metabolism with potential changes in
23 microbial metabolism, which may interact with host metabolism to facilitate the CM benefits.

24

25 KEYWORDS

26 Lipidomics, metabolism, microbiome, precision nutrition, microbiome

27

28 INTRODUCTION

29 The complex interplay among the host genome, the gut microflora, and environmental
30 (diet, lifestyle, socioeconomic determinants etc.) and behavioral factors modulates multiple
31 metabolic pathways resulting in specific biological and physiological effects (1) that
32 ultimately influence health and disease. Metabolomic approaches that integrate large swaths
33 of metabolism provide an experimental advantage by mapping metabolites in such a fashion
34 that putative mechanisms can be elucidated as demonstrated in the SuGAR Project (2–4).

35 To date, nutritional metabolomics has focused more on the identification of biomarkers
36 for foods and diet-related disease states (5). Over the past decade, potential biomarkers or
37 metabolite signatures have been identified for several foods including red meat (6), coffee
38 (7), citrus fruits (8), cruciferous vegetables (9), almonds (10), and other plant foods (11).
39 However, there is limited research on the elucidation of molecular mechanisms responsible
40 for the effects of dietary interventions (5). The potential of identifying changes in metabolic
41 pathways is improving with advancements in analytical chemistry techniques, metabolite
42 databases, and computational tools.

43 Another important consideration in nutritional metabolomics is the gut microbiome-host
44 interactions (12). A considerable number of metabolomic features that are influenced by
45 dietary changes can also be modifiable by the gut microbiome. For example, the metabolomic
46 signatures observed in human fecal donor samples were reproduced in the urine and feces of
47 humanized mice (13). Changes to the diets fed to humanized mice altered those metabolomic
48 signatures and mirrored changes in bacterial community composition (13).

49 Nut consumption is associated with reduced cardiovascular disease mortality (14).

50 Moreover, interventional studies demonstrate favorable effects of nut consumption on clinical

lipid profiles, blood glucose, and endothelial function (14). Nut studies employing metabolomics have identified potential urinary biomarkers and signatures of walnut (15) and pistachio (16) as well as urinary (17) and plasma (18) biomarkers of mixed nut consumption and erythrocyte signatures of almond consumption (10). These metabolites collectively include markers of fatty acid metabolism, ellagitannin-derived microbial metabolites, microbial-derived phenolic metabolites, and intermediate tryptophan/serotonin pathway metabolites. There are limited -omics data that map the changes in metabolic pathways following the chronic consumption of nuts. The high unsaturated fat content and relatively low available carbohydrate content of almonds has the potential to change carbohydrate and lipid metabolism.

We have previously demonstrated the effects of almond snacking for 8 weeks on cardiometabolic (19) and microbiome profiles (20) in young adults. The unique nutrient profile of almonds improved postprandial glucoregulation (19) and alpha-diversity of the gut microbiome (20) compared to cracker snacking at the end of the 8-week intervention. Here, we use untargeted and targeted metabolomics to capture the alterations in serum metabolites involved in metabolic pathways with 8 weeks of almond snacking.

METHODS

All procedures involving human subjects were approved by the University of California (UC) Merced Institutional Review Board. The study is registered on ClinicalTrials.gov (registration number: NCT03084003). The samples used in the present study were collected previously and presented separately here to provide the requisite focus on these results, which complement our previous studies (21,22)

73

Participants

Seventy-three (41 women and 32 men) young adults (18–19 years old, BMI: 18–41 kg/m²) were recruited to participate in an 8-week randomized, controlled, parallel-arm intervention examining the effects of almond vs. cracker snacking on cardiometabolic, microbiome, and metabolomics outcomes. The eligibility criteria were as follows: (a) 18–19 years of age, (b) newly enrolled, 1st-year college students with no nut allergies, (c) non-smokers, and (d) no diagnosed cardiometabolic disorders. Participants were recruited via advertisements and those who met eligibility criteria provided written, informed consent before beginning the study.

83

84 *Study design and protocol*

The primary study design has been described previously (19). The sample size calculations for the primary study were based on glucoregulatory profiles at the end of the 8-week intervention (19). Participants were randomized into one of two study arms: (1) almonds and (2) crackers. Participants in the almond group (n=38) consumed 57 g/d (2 oz; 327 kcal; 14% carbohydrate (8g fiber), 74% fat, 13% protein) of whole, dry-roasted almonds. Participants in the cracker group (n=35) served as the isocaloric control consuming 5 sheets (77.5 g/d) of graham crackers (325 kcal; 74% carbohydrate (2.5 g fiber, 20% fat, 6% protein). The cracker group was asked to avoid all nuts, seeds, and nut-containing products. Anthropometric, biochemical, dietary and microbiome data were collected and analyzed as described previously (23). Serum samples were collected at baseline prior to starting the intervention and at 4 and 8 weeks as previously described (21) and stored at –80 °C.

96

97 *Gas chromatography (GC) time-of-flight (TOF) mass spectrometry (MS) data acquisition and* 98 *processing*

99 Serum aliquots were thawed, extracted, trimethylsilyl-derivatized, and the metabolite
100 abundances quantified by GCTOF MS as previously described (24). Derivatized samples
101 were analyzed on an Agilent 7890 A gas chromatograph (Santa Clara, CA) equipped with a
102 30 m x 0.25 mm i.d., 25 μ m Rtx5Sil-MS column with an additional 10 m integrated guard
103 column (Restek, Bellefonte PA) (25,26). Mass spectrometry was performed using a Leco
104 Pegasus IV time-of-flight mass spectrometer (St. Joseph, MI). Thirteen internal standards,
105 C8–C30 fatty acid methyl esters, were added to samples for retention time alignment
106 markers, quality control purposes and quantification corrections.

107 Raw mass spectra were preprocessed using ChromaTOF vs. 4.0 and were further
108 processed using the BinBase algorithm and database for metabolite identification (27,28).
109 Quantification of metabolites are reported as peak heights.

110 All samples were analyzed in one batch, and data quality and instrument performance
111 were constantly monitored using quality controls comprised of pooled serum samples and
112 injected every 10 samples.

113

114 *Hydrophilic Interaction chromatography (HILIC) quadrupole (Q) time-of-flight (TOF)*
115 *MS/MS data acquisition and processing*

116 Serum aliquots were extracted as previously described (29). The bottom layer of the
117 2-phase solution was used for HILIC-MS. Extracts were analyzed on a Agilent 1290 Infinity
118 II LC System (Santa Clara, CA) with a 150 mm long, 2.1 mm interdiameter (id), and 1.7 μ m
119 particles Waters Acquity UPLC BEH Amide column protected by a short guard column.
120 Mass spectrometry was performed using a Sciex 6600 TTOF mass spectrometer
121 (Framingham, MA) with resolution R=10,000 for positively charged polar compounds.
122 Nineteen internal standards, optimized for HILIC-MS, were added to samples for retention
123 time alignment markers, quality control purposes, and quantification corrections.

Raw data were processed using MS-DIAL (version 3.2) (30). Quantification of metabolites are reported as peak heights. All samples were analyzed in one batch, and data quality and instrument performance were constantly monitored using blanks and quality controls, which were comprised of pooled plasma samples (BioIVT) and injected every 10 samples.

Charged Surface Hybrid electrospray (CSH-ESI) QTOF MS/MS data acquisition and processing

Serum aliquots were extracted as previously described (29). The top layer of the 2-phase solution was used for lipidomic analyses. Extracts were analyzed on an Agilent 1290 Infinity II LC System (Santa Clara, CA) with a 100 mm long, 2.1 mm id, and 1.7 μ m particles Waters Acquity UPLC CSH C18 column protected by a short guard column. Mass spectrometry was performed using an Agilent 6530 QTOF mass spectrometer with resolution R=10,000 for positively charged lipids and an Agilent 6530b QTOF mass spectrometer with resolution R=20,000 for negatively charged lipids. Twenty-four internal standards, optimized for lipidomics, were added to samples for retention time alignment markers, quality control purposes, and quantification corrections.

Raw data were processed using MS-DIAL (version 2.8) (30). Quantification of metabolites are reported as peak heights. All samples were analyzed in one batch, and data quality and instrument performance were constantly monitored using quality controls, which were comprised of pooled plasma samples (BioIVT) and injected every 10 samples.

Targeted analyses of total fatty acids

Serum aliquots were extracted, derivatized, and analyzed as previously described (31,32). Extracts in 8:1 methanol/toluene were transformed into fatty acid methyl esters

(FAMES) by sequential incubation with methanolic sodium hydroxide and methanolic hydrochloric acid, isolated in hexane from neutralized solutions and quantified by GC-MS. Briefly, FAMES were separated on a 30m x 0.25mm, 0.25 μ m DB-225ms on a 6890 gas chromatogram interfaced with a 5973A mass selective detector (Agilent Technologies) and quantified against 6- to 8-point calibration curves. Data was acquired with Chemstation v E.02 and processed with MassHunter v. 3.0.2. Results were corrected for recoveries of perdeuterated palmitate introduced as a triglyceride prior to extraction.

Targeted analyses of free PUFAs, oxylipins, and endocannabinoids

Serum aliquots were extracted as previously described (33). Residues in isopropanol extracts were separated on a 2.1 mm x 150 mm, 1.7 μ m BEH C18 column (Waters, Milford, MA) and detected by electrospray ionization with multi reaction monitoring on a API 6500 QTRAP (Sciex; Redwood City, CA). Metabolites were quantified against 7- to 9-point calibration curves of authentic standards and internal standard corrections using modifications as previously reported (2).

Univariate analyses of metabolomics data

Data were reported as quantitative ion peak heights and known metabolites were normalized using Systematic Error Removal Using Random Forest (SERRF) normalization (34). SERRF consistently produced the lowest cross-validated relative standard deviation (cvRSD) for QC samples compared to 14 other commonly used normalization methods (34). Metabolites with QC RSD > 50% were excluded from further analyses. For HILIC-MS, metabolite intensities in samples were also compared to blanks. Metabolites that showed no significant differences between sample and blank (i.e. Wilcoxon test p-value > 0.05) and those with a median sample to blank ratio < 1 were also excluded from further analyses. Data

not meeting normality assumptions were transformed using Johnson's family of transformations. However, only non-transformed data and means are presented for interpretation of biological significance.

We took a 2-step approach to the analyses. The first step focused on selecting the identified metabolites that showed a significant overall time effect in a linear, mixed model analysis with week (baseline, week 4, and week 8) as the factor. The time effect p-values for metabolites were corrected for multiple hypotheses testing using Benjamini-Hochberg correction (false discovery rate (FDR) adjusted p-value). Metabolites that demonstrated a significant (FDR < 0.05) time effect were selected for further analyses. The second step comprised of the selected metabolites undergoing a linear, mixed model analysis with week (week 4 and week 8), snack group, and a week-by-snack group interaction as factors where the analyses were adjusted for baseline values. When significant interaction effects were observed, simple effects for time and snack were carried out and pairwise comparisons were adjusted for multiple comparisons using Bonferroni correction. For any correlation analyses by groups, the significance of the difference between correlation coefficients was assessed using Fisher r to z transformation. Future analyses will explore the effects of BMI, sex, and metabolic risk factors which are outside the scope of this manuscript.

Chemical enrichment analysis

Chemical similarity enrichment analysis was conducted using ChemRICH, which is a software for metabolomic datasets that uses medical subject headings and Tanimoto substructure chemical similarity coefficients to cluster metabolites into non-overlapping chemical groups (35). The quantitative data set comprised of the baseline-adjusted overall snack effect p-values of all annotated metabolites. Statistically significant p-values for

clusters of metabolites were obtained by self-contained Kolmogorov–Smirnov tests and adjusted for FDR.

Network analysis

Network analysis was used to explore differences between the almond and cracker groups within a biochemical and structural context. A biochemical and chemical similarity network was created for all measured metabolites with KEGG and PubChem CID identifiers using MetaMapR (36). Metabolites involved in biochemical transformations were connected based on product-precursor relationships defined in the KEGG RPAIR database. Metabolites sharing structural properties defined in PubChem Substructure Fingerprints (37) were connected at a Tanimoto similarity threshold ≥ 0.7 . The quantitative data set comprised of the overall effect size (Hedge’s g for almond vs cracker groups) and baseline-adjusted snack effect p -values. In cases of significant time $\times$ group effects, the larger magnitude of effect size between week 4 or week 8 was presented. Since this was an exploratory analysis, the p -values were not FDR adjusted. The network was then visualized in Cytoscape 3.7.2 (38) using the yFiles organic layout and visual separation of clusters in the network was facilitated with the GLay community clustering algorithm (39). Significant metabolites which did not have KEGG identifiers are included as independent nodes with manually annotated edges in their respective pathway clusters.

Microbial community metabolic potential

The functional potential of the microbial community was predicted from 16S rRNA using Phylogenetic Investigation of Communities by Reconstruction of Unobserved States (PICRUST2) (40,41), which uses hidden-state prediction algorithms to infer gene families (i.e. KEGG orthologs (KO) here) and MinPath for inference of pathway abundances. The gene

abundances were analyzed by constructing a combined time x group factor and fitting the within-subject correlation in a linear model blocked on subject in the limma-voom pipeline in R (42,43). Pre-planned contrasts were constructed from the model. KO enrichment of differentially expressed ($p < 0.05$ corrected for multiple contrast testing) metabolic pathway genes for each contrast were conducted in MicrobiomeAnalyst (44) via hypergeometric tests to evaluate if specific metabolic pathways are represented more often than expected by chance. P-values for pathway enrichment were adjusted for FDR.

We identified the serum metabolites potentially derived from gut microbial metabolism using the Annotation of Metabolite Origins via Networks (AMON) tool (45). Participants gut microbiomes were profiled previously via 16S rRNA sequencing of stool samples (46). The input dataset submitted to AMON comprised of microbiome KO identifiers, KO list of the human genome, and KEGG compound IDs of the metabolomics data. The metabolites produced or metabolized by gut microbiome are annotated in the networks (**Figure 1**). The contribution of the microbial community metabolism to the serum metabolite abundances was evaluated using MIMOSA2 (47,48).

RESULTS

Participant characteristics and findings from parent study

Participant demographic, clinical and dietary characteristics (19) and gut microbiome profiles (46) have been described in detail previously.

SERRF normalization reduced the cvRSD of QCs in the untargeted analyses

For GC-TOF MS, the cvRSD of QCs decreased from 23.7% (raw) to 12.7% (SERRF). For ESI (-) QTOF-MS, cvRSD of QCs decreased from 14% (raw) to 6.5% (SERRF). For ESI (+) QTOF-MS, cvRSD of QCs decreased from 17.9% (raw) to 4.5% (SERRF). For HILIC-MS, cvRSD of QCs decreased from 15% (raw) to 10% (SERRF).

248

249 *Data quality of the targeted total fatty acid, oxylipin, endocannabinoid, and non-esterified*

250 *PUFA analyses*

251 Surrogate recoveries were between 28 - 108% for all oxylipin and endocannabinoid
252 analytes and 54% for fatty acids. Analytical precision was assessed by duplicate analysis of a
253 plasma pool control (UTAK) (n=20) and was excellent with 83% of oxylipin and
254 endocannabinoid analytes with <30% RSD and 63% of fatty acids with <30% RSD for the
255 UTAKs analyzed, respectively.

256

257 *Metabolomic coverage*

258 A total of 542 metabolites were quantified by GC-MS of which 150 had known
259 PubChem identifiers, 2747 were quantified by QTOF-MS of which 470 had known PubChem
260 identifiers, and 2427 were quantified by HILIC-MS of which 232 had known PubChem
261 identifiers. The targeted analyses detected and quantified 23 total fatty acids, 29 oxylipins, 10
262 endocannabinoids, and 5 non-esterified PUFAs above the limits of detection. In total, 692
263 metabolites were uniquely identified after removal of duplicates and quality checks.

264

265 *Top metabolites*

266 A total of 129 untargeted metabolites had significant (FDR<0.05) overall time effect.
267 The means of those untargeted metabolites that had baseline-adjusted snack and snack x time
268 p-values <0.05 are shown in **Table 1**. Almond consumption resulted in overall greater levels
269 of alpha-tocopherol, ecgonine, erucic acid, indole-3-carboxaldehyde,
270 lysophosphatidylcholines (18:0), and oleamide, and lower levels of 3-
271 hydroxyisovaleroylcarnitine and CE (16:0) than cracker consumption (baseline-adjusted
272 snack effect, p<0.05).

Several metabolites depicted significant snack and time interactions (baseline-adjusted snack x time effect, $p < 0.05$, **Table 1**). Contrasts depict that cracker consumption resulted in greater levels of isomaltose at week 4 compared to week 8 ($p < 0.05$). Almond consumption resulted in greater levels of oxoproline, methyl O-D-galactopyranoside, and N2,N2-Dimethylguanosine at week 4 compared to week 8 ($p < 0.05$).

The means of specific targeted metabolites that showed significant ($p < 0.05$) baseline-adjusted snack and snack x time effects are shown in **Table 2**. Almond consumption resulted in overall lower levels of NEFA and TFA fractions of alpha-linolenic acid (ALA) and eicosapentaenoic acid (EPA), and eicosatetraenoic acid (ETA) n3 NEFA, and ALA metabolites, 9-HOTE, 15(16)-EpODE, and docosahexaenoic acid (DHEA) compared to cracker consumption (baseline-adjusted snack effect, $p < 0.05$). The omega-6:omega-3 and DPA:EPA fatty acid ratios were higher, and Σ omega-3 and palmitoleic acid:palmitic acid ratio were lower in response to almond consumption compared to cracker consumption (baseline-adjusted snack effect, $p < 0.05$).

Chemical similarity enrichment analysis of metabolites indicates greater changes in unsaturated triglycerides and lysophosphatidylcholine metabolism with almond consumption

ChemRICH analysis, which was conducted on baseline-adjusted metabolite values, mapped 625 of the identified (untargeted) metabolites to 58 non-overlapping chemical classes. Seven clusters were significantly different between the almond and cracker groups (FDR-adjusted p-value < 0.05) overall. Almond consumption enriched unsaturated triglycerides, unsaturated phosphatidylcholines, saturated and unsaturated lysophosphatidylcholines, sphingomyelins, and tricarboxylic acids clusters. The key metabolites in each of those clusters are depicted in **Table 3**. The tocopherol cluster was also influenced with almond consumption overall (FDR-adjusted p-value < 0.05) with gamma-

tocopherol significantly decreased ($p < 0.05$) and alpha-tocopherol increased compared with cracker consumption (**Table 3**).

Network analysis of metabolites depicts an alteration in compounds involved in lipid and tocopherol metabolism in response to almond consumption

Metabolites were clustered into groups based on biochemical and structural similarities. Network clusters were largely comprised of primary and intermediate metabolites involved in amino acid, carbohydrate, lipid, and nucleotide metabolism (**Figure 1**). More specifically, clusters of amines/amides, TCA cycle metabolites, and carnitine and tocopherol metabolism were also identified (**Figure 1**).

Carbohydrate metabolism

The almond group had greater levels of metabolites involved in the TCA cycle such as succinic, aconitic, and isocitric acids, and lower levels of hexitol compared to cracker consumption (Hedge's g : 0.34-0.59, snack effect $p < 0.05$). Almond consumption resulted in greater levels of citric acid at week 4 ($g = 0.84$) and lower levels of raffinose ($g = 0.26$), isomaltose ($g = 0.32$), gluconic acid ($g = 0.48$), methyl O-D-galactopyranoside ($g = 0.41$), and 2-alpha-mannobiose ($g = 0.28$) at week 8 and lower levels of ribonic acid at week 4 ($g = 0.24$) compared to cracker consumption (time x snack effect, $p < 0.05$).

Amino acid metabolism

Almond consumption largely elicited lower levels of metabolites involved in amino acid metabolism such as cyclo (Leu-Pro), alpha-methyl-histidine, and pipecolic acid ($g = 0.31-0.65$) and higher levels of phenylacetylglutamine ($g = 0.27$) and indole-3-carboxaldehyde ($g = 0.56$) compared to cracker consumption (snack effect, $p < 0.05$). Differential time x snack effects ($p < 0.05$) were noted as well with 4-acetamidobutyric acid,

alpha-keto-gamma-(methylthio) butyric acid, and oxoproline lower with almond consumption at week 8 ($g=0.27-0.39$) but not at week 4. N-acetylglycine was greater in the almond group at week 4 ($g=0.61$) and N.epsilon.-methyl-L-lysine was lower in the almond group at week 8 ($g=0.41$).

327

328 *Lipid metabolism*

329 The alterations in lipid metabolites such as LPC, PC, TG, and SM, and fatty acids and
330 their derivatives with almond consumption have been described in the previous sections.

331 Other significant metabolites include 3-hydroxyisovalerylcarnitine ($g=0.36$) and
332 palmitoylcarnitine ($g=0.31$), which was increased in the almond group (snack effect, $p<0.05$).

333 Differential time x snack effects ($p<0.05$) were noted for acetylcarnitine ($g=0.47$) and

334 glycerophosphocholine ($g=0.12$), both being greater with almond consumption at week 4.

335 LPC (20:0) and LPC (20:1) were greater in the almond group at week 8 ($g=0.72-0.76$, time x
336 snack effect $p<0.05$).

337

338 *Metabolism of cofactors and vitamins*

339 Almond consumption elicited greater levels of alpha-tocopherol ($g=0.49$) and lower
340 levels of gamma-tocopherol ($g=0.53$) and trigonelline ($g=0.56$) overall compared to cracker
341 consumption (snack effect $p<0.05$).

342

343 *Nucleoside and nucleotide metabolism*

344 Almond consumption elicited lower levels of 7-methylguanosine overall ($g=0.37$,

345 snack effect $p<0.05$). Differential time x snack effects ($p<0.05$) were noted for

346 1-methyladenosine A and N₂,N₂-dimethylguanosine with greater levels at week 4 ($g=0.29-$

347 0.45) and lower levels at week 8 for the almond group ($g = 0.32$).

348

349 *Potential microbiome community-dependent metabolism*

350 There were no significantly enriched pathways detected for the differentially
351 expressed (almond vs. cracker) genes at baseline (FDR>0.05, **Supplemental Table 1**).
352 Overrepresentation analysis of the differentially expressed metabolic pathway genes at week
353 8 found significant enrichment (FDR<0.05, **Supplemental Table 1**) of biosynthesis of amino
354 acids, amino sugar and nucleotide sugar metabolism, starch and sucrose metabolism, and
355 fructose and mannose metabolism.

356 AMON identified 94 metabolites in the serum as being potentially produced or
357 metabolized by microbes isolated from 16S sequencing of host stool samples, which are
358 annotated in **Figure 1**. MIMOSA predicted the microbial community potential (CMP) of 81
359 metabolites out of which 67 overlapped with AMON results. The almond group at week 8
360 demonstrated a positive correlation of serum N-acetyl-D-mannosamine (R-square: 0.14,
361 p<0.05) (**Supplemental Figure 1**), and a negative correlation of serum L-cysteine (R-square:
362 0.16, p<0.05) with the CMP scores, which were significantly different from the cracker group
363 (p<0.05 for difference in correlation coefficients between groups). The cracker group at week
364 8 only demonstrated a negative correlation of 5(S)-HETE (R-square: 0.15, p<0.05) with the
365 CMP scores. The negative association between a metabolite's levels and its CMP could
366 potentially be due to missing reactions in the KEGG database or effects other than
367 metabolism (48).

368

369 DISCUSSION

370 The metabolomics analyses in our study demonstrated shifts in host metabolism with
371 almond consumption particularly that of tocopherol, lipids, and the TCA cycle with some

differential time effects noted as well. The study also suggests that changes in microbial metabolism could potentially influence host metabolism.

Almond consumption for 8 weeks increased alpha-tocopherol and decreased gamma-tocopherol, which is supported by targeted studies (49). This could be due to the preferential uptake of alpha-tocopherol in the liver when dietary intake is increased and reduced retention of other tocopherol forms (50). Increased alpha-tocopherol is typically considered a biomarker for compliance with almond consumption. The increase in alpha-tocopherol was most prominent at week 4 and appeared to have plateaued at week 8 suggesting that levels may reach a saturable limit by week 4. Previous studies have demonstrated that in participants with normal plasma alpha-tocopherol concentrations of 25 μ M, the plasma concentrations do not increase more than 2-3 fold even upon supplementation (50–52) further suggesting that levels of alpha-tocopherol are saturable. In a dose-dependent almond study, 10% of energy intake from almonds resulted in a 13.7 % increase, and 20% in 18.7 % increase in plasma alpha-tocopherol over 4 weeks (49). In our study, the decrease in the magnitude of alpha-tocopherol concentration change (over 8 weeks) as baseline concentrations increase also suggests the presence of a saturable pool (**Supplemental Figure 2**). Our dietary recall data also indicates an increase in alpha-tocopherol over 4 weeks with no further change beyond week 4 with almond consumption (21). Given the differential response in alpha- and gamma-tocopherol to almond consumption, we propose that the ratio may serve as a robust marker of dietary compliance when the intervention may be of a lesser quantity and/or duration to not reach saturable levels in circulation.

Almond consumption was also associated with greater levels of phosphatidylcholines, and its derivative LPC species, which have been reported to influence diverse cell types such as endothelial cells, adipocytes, hepatocytes, and immune cells (53). Due to the complexity of its metabolism, the role of LPCs in disease causality is controversial (53). In a recent review

(53), while *in vitro* studies implicated LPCs in apoptosis and pro-inflammatory conditions, clinical studies demonstrated that circulating LPCs were inversely associated with cardiovascular diseases. Other studies showed lower levels of diverse LPC species with obesity (54) and negative associations with inflammatory markers and insulin resistance (54,55) suggesting that LPCs may protect against metabolic disorders. Analyses conducted on a subset of participants in the current study demonstrated significant ($p < 0.05$) negative correlations of LPCs with inflammatory markers. Moreover, almond consumption for 8 weeks elicited positive correlations ($r = 0.30-0.55$) of IL-6 with various LPC species including LPC (20:1), LPC (18:0), and LPC (18:1), and IL-10 with LPC (22:4) whereas negative correlations ($r = 0.2-0.41$) were identified in the cracker group ($p < 0.05$ for group comparisons). IL-10 is an anti-inflammatory cytokine while IL-6 can be either inflammatory or anti-inflammatory (56). These relationships suggest that LPCs and inflammatory markers in relatively healthy adults are mediated by dietary conditions and that chronic almond consumption may have a role to play in immune health through the maintenance or increase in LPCs. However, other considerations for interpretation of LPC effects across diet studies should include examination of tissues and/or cell types, and comparisons between healthy and diseased states and/or saturated and unsaturated species.

Almond consumption generally increased unsaturated triglycerides over 8 weeks. More specifically, the almond group had higher levels of oleamide, which is a fatty acid amide of oleic acid. Surprisingly, the targeted analyses did not detect a difference in oleic acid TFA between groups even though it is the most predominant fatty acid in almonds. However, this inconsistency has been documented previously. For example, in a dose-dependent study, half-dose almonds (37 ± 2 g/d) increased oleic acid in NEFA and TAG fractions; however, the full dose (75 ± 3 g/d) did not demonstrate an increase in NEFA fraction (57). Our dietary data indicates greater oleic acid intake in the almond group

compared to the cracker group. The bioaccessibility of lipids from whole almonds during mastication and digestion may limit the amount of fat absorbed through the gastrointestinal tract (58), hence contributing to the incongruency between dietary intake and serum NEFA levels. However, since serum NEFA levels are predominately regulated by adipose triglyceride hydrolysis (59), these results suggest that almond consumption did not alter adipose composition or the rates of adipose lipolysis. Alternatively, because increased oleic acid can stimulate complete fatty acid oxidation (60), the increased intake with almond consumption may have increased oxidation including the oxidation of oleic acid itself to mask the potential to detect an increase in circulating levels. The greater levels of acetylcarnitine particularly at week 4 in the almond group suggests that beta-oxidation of fatty acids was increased and substantiates the data in the literature that increased oleic acid promotes beta oxidations of FFA. Nonetheless, our results suggest that in a free-living study, serum oleic acid may not be a viable biomarker of compliance with almond consumption.

Almond consumption also lowered the palmitoleic acid: palmitic acid ratio. A greater ratio is considered a diagnostic marker for early onset non-alcoholic steatohepatitis (61) and associated with the presence of impaired glucose tolerance and T2D (62) suggesting that the lower ratio with almond consumption may be indicative of a protective effect against metabolic disorders.

The targeted analysis reveals lower levels of omega-3 total fatty acids (TFAs) in the almond group. More specifically, almond consumption had lower levels of ALA, EPA (measured in NEFA and TFA fractions), ALA oxylipins (9-HOTE and 15(16)-EpODE), and DHEA, the acylethanolamide of the omega-3 derivative of DHA. Interestingly, our 24-hour dietary recall data revealed greater intake of EPA in the almond group compared to the cracker group and no differences in ALA intake by group suggesting that the estimated dietary intake of these fatty acids are not indicative of the actual changes in circulating levels.

These discrepancies could be due to the low reproducibility of food omega-3 fatty acids by ASA24 (63). Furthermore, the complex metabolism of omega-3 fatty acids involves a series of enzymatic desaturation and elongation processes, interconversions between FAs, and translocation between different cellular compartments (64) that cannot be captured by estimating dietary intake.

The increases in aconitic, citric, isocitric, and succinic acids suggests that almond consumption stimulated the TCA cycle. The TCA cycle is a central metabolic pathway where key byproducts of nutrient digestion such as glucose, fatty acids, and some amino acids converge for energy production (65). The increased activity in the TCA cycle could be due to the higher dietary intake of MUFAs and PUFAs in the almond group. Higher fat intake has been found to elevate TCA cycle intermediates in rats (66) suggesting that increased availability of unsaturated FAs may increase the substrates for TCA cycle activity and in essence, feed the system. While in a recent human study, baseline levels of specific TCA cycle metabolites including isocitrate were associated with greater relative risk of T2D, the Mediterranean diet, a diet high in unsaturated fats, appeared to alleviate this risk (67). As mentioned previously, almond consumption increased acetylcarnitine, which is significant here because it is involved in the movement of acetyl-CoA into the mitochondria during beta-oxidation, thereby providing the raw materials for TCA cycle (68). Thus, the increase in TCA cycle activity may be facilitated by increased acetylcarnitine. These data provide a mechanism by which increased dietary MUFA (oleic acid) (and potentially PUFAs) can improve glucose metabolism (via enhanced TCA cycle activity). In the same subjects studied here, almond consumption improved glucose tolerance (21).

Another carnitine derivative, 3-hydroxyisovaleroylcarnitine, which is a degradation byproduct of ketogenic amino acids, was lower in the almond group. This may suggest that biotin intake was increased. Increased circulating levels of 3-hydroxyisovaleroylcarnitine is

associated with impaired leucine catabolism due to reduced activity of 3-methylcrotonyl-CoA carboxylase, which is a biotin-dependent enzyme in asymptomatic, marginally biotin deficient adults (69,70). Although ASA24 data does not report biotin values, nuts are considered good sources of biotin and the dietary results suggest that the consumption of biotin-rich foods (red meat and eggs) was increased in the almond group.

We have previously documented increased alpha-diversity of the gut microbiome with almond consumption (22). The present analysis shows an enrichment of amino acid biosynthesis and carbohydrate metabolism such as amino sugar and nucleotide sugar metabolism in the almond group at week 8. Our analyses revealed differential snack effects on the microbial community potential of several MIMOSA2-predicted amino acids such as glutamate, glutamine, tryptophan, phenylalanine, and proline (**Supplemental Table 2**). However, the predicted microbial metabolism data are most useful when associated with the metabolomics data. The only compound that was positively predicted at the end of the intervention was N-acetyl-D-mannosamine (ManNAc), which is an acylaminosugar. The microbial community in the almond group at week 8 explained 14% of the variation in serum ManNAc. The analyses further suggest that sialic acid metabolism, which includes biosynthesis of N-acetylneuraminic acid (Neu5Ac) from ManNAc, and catabolism of Neu5Ac to ManNAc and subsequently to ManNAc-6-P, which ultimately enters glycolysis, are involved (71). Although serum ManNAc itself was not significantly different between the almond and cracker groups, we speculate that metabolomics and meta-transcriptomics of stool samples might reveal other interesting relationships associated with almond consumption.

Other potential products of microbial metabolism that were differentially influenced by diet included cyclo (Leu-Pro), indole-3-carboxaldehyde, phenylacetylglutamine, and pipecolic acid. Phenylacetylglutamine, which is a conjugate of glutamine and phenylacetate,

the latter being derived from microbial metabolism of phenylalanine (72,73), was greater in the almond group. Studies have documented the positive association of phenylacetylglutamine with alpha-diversity (72), which is supported by our study as well (data not shown). Pilocolic acid, which was lower in the almond group, could arise from food intake or produced by gut bacteria on lysine degradation (74). Although, cyclo (Leu-Pro) is a bacterium-derived dipeptide whose functional role isn't well known, literature suggests that cyclodipeptides and their derivatives such as diketopiperazines, contribute to bacterial signaling systems (75–77). Almond consumption also resulted in greater indole-3-carboxaldehyde, which is a tryptophan metabolite that acts as a ligand for the aryl hydrocarbon receptor (AhR) in the intestinal immune cells that stimulate IL-22 production when activated. This activation is important for maintaining gut immunity (78), providing another potential benefit of almond consumption on gut health in addition to promoting alpha-diversity.

We used comprehensive analyses to study the serum metabolome of almond versus cracker consumers. The changes in microbial metabolism provide complementary insight into the metabolomics data. However, since we used prediction models for assessing microbial metabolism and community potential, the results should be interpreted with caution and in the context of the exploratory nature of the analyses. Moreover, more than half of the metabolites in our dataset could not be annotated owing to the nature of untargeted metabolomics, and biochemical relationships for most lipid mediators could not be defined due to the inherent limitations of KEGG database for lipidomic datasets. Nonetheless, these data provide significant insights on the effects of chronic almond consumption on metabolic pathways not previously explored. Future analyses will explore effects of factors such as BMI, sex, and metabolic risk on these outcomes.

521 Our results provide a deeper understanding of host TCA cycle and lipid metabolism
 522 with almond consumption in relatively healthy young adults. In addition, the findings also
 523 shed light into the interconnections between circulating metabolites and microbial
 524 metabolism in the context of an almond intervention. More generally, these findings provide
 525 further evidence for the potential impacts of dietary changes on host substrate metabolism
 526 and associated changes in gut microbe metabolism. Whether the changes in the gut microbe
 527 or metabolites influence host metabolism or vice versa remains to be elucidated but these data
 528 provide evidence for an association between gut microbe metabolism and host cellular
 529 metabolism.

530

531 AUTHOR RESPONSIBILITIES

532 JD and RMO designed and conducted the study. JWN and OF directed the metabolomics
 533 analyses and assisted with the data interpretation. JD drafted the manuscript. All authors
 534 revised the manuscript and approved the submitted version.

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793 **Table 1:** Baseline-adjusted least square means of selected metabolites following almond and cracker consumption over 8 weeks

Metabolites ^a	Almond ^b			Cracker			Snack effect P-value (baseline-adjusted) ^c	Time effect P-value (baseline-adjusted)	Snack x Time effect P-value (baseline-adjusted)
	Baseline	Week 4	Week 8	Baseline	Week 4	Week 8	Almond vs. cracker	Week 4 vs. week 8	
(2R)-3-Hydroxyisovaleryl carnitine (x10 ²)	41 ± 17	30 ± 19	27 ± 11	36 ± 15	32 ± 12	32 ± 10	0.001	0.084	0.001
Alpha-tocopherol (x10 ³)	31 ± 12	42 ± 12	39 ± 11	33 ± 11	36 ± 7.9	35 ± 11	0.005	0.147	0.005
CE (16:0) (x10 ²)	46 ± 27	44 ± 24	46 ± 27	37 ± 24	54 ± 27	65 ± 37	0.015	0.241	0.015
Erucic acid (x10 ²)	16 ± 51	20 ± 14	25 ± 23	17 ± 7	17 ± 7	17 ± 6	0.004	0.178	0.004
Indole-3-carboxaldehyde (x10 ²)	5 ± 11	22 ± 58	9 ± 11	3 ± 3	7 ± 7.5	5 ± 3.1	0.002	0.162	0.002
Isomaltose (x10 ¹)	8 ± 32	9 ± 29	11 ± 42	9 ± 5	9 ± 6*	16 ± 22	0.605	<0.001	0.003
LPC (18:0) (x10 ⁴)	58 ± 14	58 ± 19	55 ± 19	54 ± 17	56 ± 18	45 ± 11	0.038	0.002	0.13
Methyl O-D-	27 ± 9	28 ± 10*	21 ± 8	25 ± 10	24 ± 9	24 ± 6	0.911	0.009	0.02

galactopyranoside (x10 ²)									
N2,N2-Dimethylguanosine (x10 ¹)	93 ± 54	81 ± 28*	65 ± 18	81 ± 26	70 ± 17	71 ± 17	0.991	0.016	0.001
Oleamide (x10 ³)	9.8 ± 6	27 ± 41	25 ± 29	9.2 ± 52	17 ± 17	14 ± 12	0.014	0.492	0.63
Oxoproline (x10 ⁴)	56 ± 10	62 ± 14*	51 ± 8.6	56 ± 11	59 ± 11	54 ± 10	0.63	<0.001	0.04

794 ^a Metabolites were selected based on overall time effect, FDR<0.05

795 ^b Untransformed means ± standard deviation

796 ^c Linear mixed model analysis with baseline adjustment on transformed data

797 *, P-value <0.05 for week 4 vs. week 8 within same snack group

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805 **Table 2:** Specific targeted metabolites and their ratios following almond and cracker consumption for 8 weeks

Metabolites	Almond ^a			Cracker			Snack effect P-value (baseline-adjusted) ^b	Time effect P-value (baseline-adjusted)	Snack x Time effect P-value (baseline-adjusted)
	Baseline	Week 4	Week 8	Baseline	Week 4	Week 8	Almond vs. cracker	Week 4 vs. week 8	
Oxylipins									
9-HOTE	0.61 ± 0.37	0.36 ± 0.11	0.39 ± 0.15	0.46 ± 0.14	0.44 ± 0.14	0.41 ± 0.13	0.009	0.814	0.139
15(16)-EpODE	3.4 ± 2.4	2.5 ± 2.1	2.1 ± 1.4	3.4 ± 2.6	3.2 ± 3.0	3.2 ± 3.0	0.016	0.48	0.392
9_10-e-DiHO	6.2 ± 2.3	5.6 ± 2.2	6.2 ± 3.6	7.5 ± 8.2	6.7 ± 4.3	6.9 ± 3.9	0.301	0.444	0.829
9_10-EpO	5.6 ± 3.2	6.0 ± 3.5	9.1 ± 8.9	12.7 ± 20.2	8.5 ± 8.8	6.2 ± 3.6	0.706	0.419	0.048
Non-esterified fatty acids									
ALA	20 ± 11	15 ± 9	13 ± 7	19 ± 8	18 ± 8	15 ± 7	0.014	0.168	0.554
EPA	39 ± 16	28 ± 17	31 ± 15	41 ± 21	36 ± 26	41 ± 21	0.018	0.015	0.718
DHA (x10 ¹)	26 ± 12	19 ± 9	21 ± 8	28 ± 13	25 ± 18	26 ± 13	0.051	0.064	0.914
Endocannabinoids									
DHEA	1.4 ± 0.5	1.1 ± 0.4	1.2 ± 0.4	1.5 ± 0.6	1.4 ± 0.7	1.4 ± 0.5	0.035	0.159	0.986
ALEA	0.23 ± 0.11	0.17 ± 0.08	0.14 ± 0.06	0.21 ± 0.09	0.18 ± 0.08	0.18 ± 0.08	0.126	0.4	0.409
OEA	3.6 ± 1.3	2.8 ± 1.0	3.0 ± 0.9	4.0 ± 1.9	3.0 ± 1.6	3.0 ± 0.8	0.913	0.257	0.799

1/2-OG (x10 ¹)	31 ± 18	23 ± 11	45 ± 22	49 ± 74	27 ± 16	48 ± 31	0.79	<0.001	0.574
Total Fatty Acids (TFA)									
ALA	78 ± 59	63 ± 45	64 ± 35	66 ± 32	76 ± 41	86 ± 78	0.004	0.361	0.58
EPA	28 ± 18	23 ± 19	24 ± 12	29 ± 22	29 ± 15	33 ± 22	0.007	0.169	0.79
DHA (x10 ¹)	11 ± 4	10 ± 5	10 ± 4	12 ± 6	11 ± 5	11 ± 5	0.313	0.441	0.314
ETA (n3)	3.4 ± 3.7	2.5 ± 2.2	3.4 ± 4.5	3.2 ± 2.9	4.1 ± 3.8	7.8 ± 11.3	0.012	0.223	0.561
Palmitoleic acid (x10 ¹)	13 ± 7	10 ± 5	10 ± 7	13 ± 9	14 ± 7	13 ± 10	0.022	0.083	0.15
Oleic acid (x10 ²)	17 ± 6	17 ± 6	18 ± 7	17 ± 8	18 ± 5	17 ± 7	0.632	0.85	0.147
TFA aggregates and activity indices									
Σ (n-6): Σ(n-3)	15 ± 3	16 ± 4	16 ± 3	15 ± 4	14 ± 3	14 ± 4	0.001	0.164	0.724
Σ (n-3) PUFA (x10 ¹)	27 ± 10	23 ± 11	24 ± 9	27 ± 10	27 ± 9	29 ± 16	0.013	0.23	0.593
Palmitoleic acid/Palmitic acid (C16:1n7/C16:0)	0.072 ± 0.025	0.06 ± 0.021	0.057 ± 0.021	0.067 ± 0.023	0.073 ± 0.063	0.06 ± 0.02	0.004	0.014	0.219
Elaidic acid/ Stearic acid (C18:1n9/C18:0)	3.1 ± 0.9	3.3 ± 1.3	3.1 ± 0.7	3.0 ± 0.7	3.3 ± 0.9	3.3 ± 0.8	0.446	0.544	0.643
γ-Linolenic	0.009 ± 0.006	0.009 ± 0.007	0.01 ± 0.006	0.011 ± 0.006	0.011 ± 0.007	0.011 ± 0.006	0.379	0.066	0.304

acid/linoleic acid (C18:3n6/C18:2n6)									
Adrenic	0.028 ± 0.008	0.029 ± 0.01	0.028 ± 0.009	0.029 ± 0.01	0.03 ± 0.01	0.028 ± 0.01	0.99	0.242	0.567
acid/arachidonic acid (C22:4n6/C20:4n6)									
DHA/DPA	2.4 ± 0.8	2.7 ± 1.0	2.6 ± 0.9	2.7 ± 0.969	2.6 ± 0.9	2.8 ± 1.3	0.068	0.523	0.583
(C22:6n3/C22:5n3)									
DPA/EPA	2.0 ± 0.7	2.1 ± 0.7	2.0 ± 0.8	2.0 ± 0.8	1.9 ± 0.9	1.8 ± 1.2	0.026	0.267	0.388
(C22:5n3/C20:5n3)									

806 ^a Untransformed means ± standard deviation

807 ^b Linear mixed model analysis with baseline-adjustment on transformed data

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812 **Table 3:** Chemical similarity enrichment analysis results of significant clusters of untargeted serum metabolites measured following almond and
813 cracker consumption over 8 weeks

Cluster name	Cluster size	P-value (KS)	FDR adjusted P-value (KS)	No. of altered metabolites in cluster	Metabolites	P-value (almond vs. cracker) ^a
Unsaturated phosphatidylcholines	90	<0.001	<0.001	Increased: 17	PC (p-40:5)	0.004
					PC(40:7)	0.007
					PC(p-38:4)/PC(o-38:5) A	0.009
					PC(38:7)	0.011
					PC(p-36:1)/PC(o-36:2)	0.012
					PC(p-38:4)/PC(o-38:5) B	0.015
					PC(p-40:4)/PC(o-40:5)	0.024
					PC(p-36:4)/PC(o-36:5)	0.026
					PC(p-36:2)/PC(o-36:3)	0.029
					PC(p-38:5)/PC(o-38:6)	0.031
					PC(p-44:4)/PC(o-44:5)	0.033
					PC(39:4)	0.036
					PC (p-36:3) or PC (o-36:4)	0.036
					PC (p-34:2) or PC (o-34:3)	0.041
					PC(p-40:6)/PC(o-40:7)	0.041
					PC(p-34:2)/PC(o-34:3)	0.048
					PC (p-42:3) or PC (o-42:4)	0.049
Unsaturated triglycerides	84	<0.001	<0.001	Increased: 13	TG(56:4)	0.002
					TG(58:8)	0.005
					TG(18:1/18:2/20:3)	0.009
					TG(54:3)	0.009
					TG(54:4)	0.013
					TG(58:9)	0.014
					TG(56:5)	0.025
					TG(56:3)	0.028
					TG (53:5)	0.03
					TG(56:7)	0.033
					TG(18:2/20:3/22:6)	0.044

					TG(18:1/18:2/19:1)	0.046
					TG(56:6)	0.049
Sphingomyelins	24	<0.001	<0.001	Increased: 5	SM(d38:1)	0.021
					SM(d40:1)	0.024
					SM (d37:1)	0.027
					SM(d42:1)	0.033
					SM(d40:2)	0.041
Unsaturated lysophosphatidylcholines	14	<0.001	0.004	Increased: 3	LPC (16:1)	0.029
					LPC (17:1)	0.032
					LPC (20:1)	0.041
Saturated lysophosphatidylcholines	6	<0.001	<0.001	Increased: 2	LPC (18:0)	0.038
					LPC (16:0)	0.011
Tricarboxylic acids	3	<0.001	0.001	Increased: 3	Citric acid	0.004
					Aconitic acid	0.046
					Isocitric acid	0.015
Tocopherols	3	0.006	0.046	Increased: 1 Decreased: 1	Gamma-tocopherol	0.005
					Alpha-tocopherol	0.005

a, baseline-adjusted overall snack effect

KS, Kolmogorov–Smirnov test; LPC, lysophosphatidylcholine; PC, phosphatidylcholine; SM, sphingomyelin; TG, triglycerides

Figure legends

820 Figure 1: Biochemical network displaying differences between almond and cracker groups over 4 and 8 weeks. Metabolites are connected based on
 821 biochemical relationships (orange, KEGG RPAIRS), measured structural similarity (blue, Tanimoto coefficient ≥ 0.7), or manually annotated structural
 822 similarity (grey). Metabolite size denotes the effect size (Hedge's g , almond vs cracker group). Metabolite color represents the direction of the effect size i.e.
 823 blue, almond > cracker overall ($P < 0.05$); red, almond < cracker overall ($P < 0.05$). Numbers denote the time point at which the significant i.e. ($P < 0.05$) time x
 824 snack effect was observed i.e., 4, week 4; 8, week 8; no number represents significant overall snack effect. P-values are derived from the baseline-adjusted
 825 linear mixed model analysis. Shapes display primary metabolic pathways or structural superclass designations obtained via ClassyFire. Metabolites
 826 potentially produced by the gut microbiome are highlighted with thick black borders. Clusters of metabolites are circled. Significant metabolites which did
 827 not have KEGG identifiers are included as independent nodes with manually annotated edges in their respective pathway clusters.

